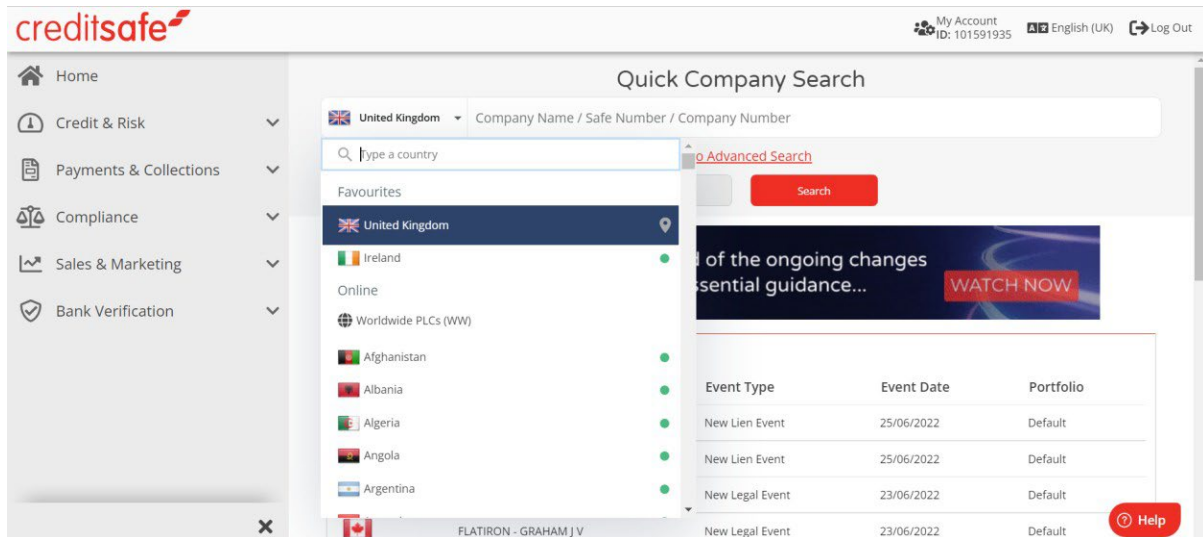# creditsafe

Compliance Alerts

User Guide

Compliance Alerts User Guide

1. Log into the Creditsafe portal using your Creditsafe credentials.

2. Navigate to the company search on your home page:

3. Search a company that is a potential supplier/business partner/customer to view the business report

4. Select the relevant report as below:

5. View Compliance Alerts in the header tile:

🇬🇧 TESCO PLC							UK00148845
Risk Score	International Score	Credit Limit	Contract Limit	Status	DBT	Industry DBT	Compliance Alerts
86	A	£100,000,000	£500,000,000	Active	28.79	18	3 Alerts

Monitor   More Actions ▾

6. Click on the Compliance Alerts Tab in the business report to view the relevant alerts generated in relation to the entity and key associated parties:

Summary   Score/Limit   Directors/Shareholders   Financials   Event History   Group Structure   **Compliance Alerts**   Negative Information   Image Documents

Please note businesses are responsible for conducting their own KYC (Know Your Customer) checks. The Compliance Alerts that are generated by this report display possible alerts only using predefined matching rules, users should click on the individual alerts to view the potential hit and use a screening tool independently to this report to ensure that screening is conducted in line with the businesses own risk appetite and internal processes.

Company

	Name	Address	Compliance Alerts
🇬🇧	Creditsafe Services Limited	Bryn House, Caerphilly Business Park, Caerphilly, CF83 3GR	No Alerts

Current Directors

	Name	Gender	DOB	Address	Compliance Alerts
🇬🇧	Mr John Doe	Male	08/12/1965	14 High Street, Cardiff, CF62 2AE	🚩 View Alerts
🇬🇧	Ms Brenda Edwards	Female	14/07/1974	1b Park Way, Roath, Cardiff, CF24 3UF	No Alerts
🇬🇧	Mrs Greta Thomas	Female	26/10/1978	20 Park Avenue, Lavernock, Penarth, CF64 2TR	• • • Searching for matches
🇩🇪	Mr Lucas Weber	Male	02/04/1962	Schreiberhauer Straße 30, 10317 Berlin	🚩 View Alerts

Person(s) of Significant Control ⓘ

PSC may contain UBO or Ultimate Holding Co information

	Name	Address	Compliance Alerts
🇨🇾	Safe Information Group Cyprus	C/O Oneworld, 75 Prodromou Avenue Oneworld House, Nicosia, Nicosia 1307, Cyprus	No Alerts

	Name	DOB	Address	Compliance Alerts
🇬🇧	Mr John Doe	08/12/1965	14 High Street, Cardiff, CF62 2AE	🚩 View Alerts
🇳🇱	Ms Brenda Edwards	14/07/1974	Jan Pietersz. Coenstraat 10, 2595WP 'S-GRAVENHAGE	No Alerts

Please note businesses are responsible for conducting their own KYC (Know Your Customer) checks. The Compliance Alerts that are generated by this report display possible alerts only using predefined matching rules, users should click on the individual alerts to view the potential hit and use a screening tool independently to this report to ensure that screening is conducted in line with the businesses own risk appetite and internal processes.

Compliance Alerts, Compliance Risk, Indicators, KYC reports and Screening Flags together called "Compliance Data" are created with data provided by several third party suppliers enriching Creditsafe proprietary data. Whilst we endeavour for the information to be correct at the time of publishing, we cannot guarantee the accuracy of the data.

For information purposes, Creditsafe Compliance Risk screens selected parties using a minimum of 90% matching score on the full name of the entity and individuals concerned, to generate any possible alerts against the following categories of risk: Sanctions/Watchlists, Enforcement Agencies/Lists, PEP (politically exposed persons) Lists and AML relevant adverse media.

The screening tool also takes into account date of birth (with a 12 month tolerance), country and gender (if available) to automatically discount potential alerts. However, it is the responsibility of the business conducting the search to determine whether the alert hits are relevant or indeed to accept any of the data displayed in line with their risk tolerance.

7. Click on view alerts to view more details on the specific alert generated, this will navigate you to our screening tool Protect:
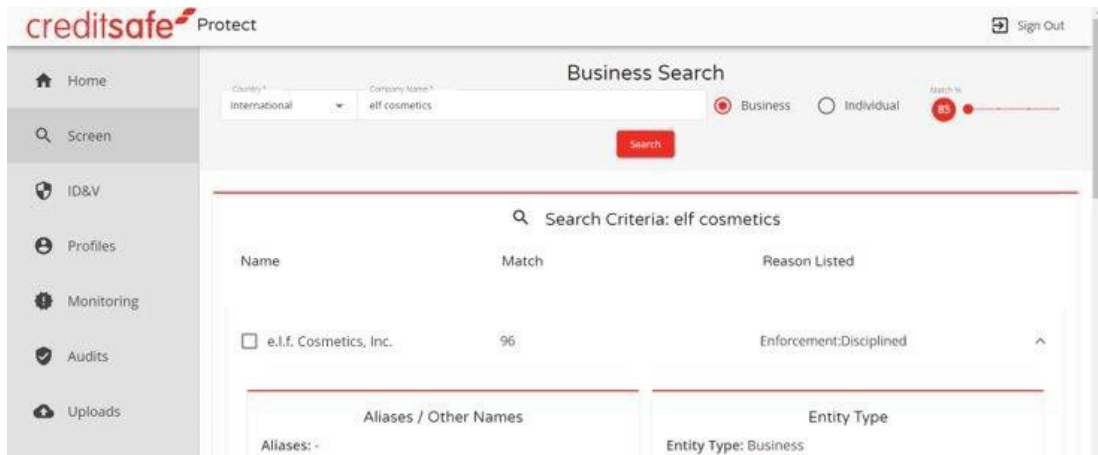
Please note if you do not have access to Compliance Alerts i.e. cannot see the tab or receive a Not In Subscription page for Protect please contact [support contact] for further assistance.

If you click on the alerts button and the results in Protect show you that there are no results to display, you may take this as a **no match** as it runs a stricter search on the criteria and is our golden source of AML screening information.

The compliance alerts data is supplied by a separate provider with a slightly wider remit.

8. When viewing the alert in Protect, adding a selected result to a profile will mark the record as a true match. You may create profiles with 0 results, i.e. there was no hit, and add the search to ongoing monitoring from within the profiles section (please see the Protect User Guide for more information).